

To request a Time Extension (TE) or Alternative Diversion Requirement (ADR), please complete and sign this form and return it to your Office of Local Assistance (OLA) representative at the address below, along with any additional information requested by OLA staff. When all documentation has been received, your OLA representative will work with you to prepare for your appearance before the Board. If you have any questions about this process, please call (916) 255-2555 to be connected to your OLA representative.

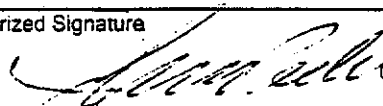
Mail completed documents to:

California Integrated Waste Management Board
Office of Local Assistance, MS 8
8800 Cal Center Drive
Sacramento CA 95826

General Instructions:

For a Time Extension complete Sections I, II, III-A, IV-A, and V.

For an Alternative Diversion Requirement complete Sections I, II, III-B, IV-B and V.

| | | | |
|---|---------------------------------|--|-------------------------|
| Section I: Jurisdiction Information and Certification <i>All respondents must complete this section.</i> | | | |
| I certify under penalty of perjury that the information in this document is true and correct to the best of my knowledge, and that I am authorized to make this certification on behalf of: | | | |
| Jurisdiction Name City of Lincoln | | County Placer | |
| Authorized Signature  | | Title Director of Public Works, City Engineer | |
| Type/Print Name of Person Signing John Pedri | Date 01/15/02 | | Phone (916) 645-8576 |
| Person Completing This Form (please print or type) Rebecca Siren | | Title Consultant | |
| Phone (530)887-9011 | E-mail Address siren@jps.net | | Fax (530)887-9955 |
| Mailing Address P.O. Box 631 | City Cool | State CA | ZIP Code 95614 |

Section II—Cover Sheet

This cover sheet is to be completed for each Time Extension (TE) or Alternative Diversion Requirement (ADR) requested.

1. Eligibility

Has your jurisdiction filed its Source Reduction and Recycling Element, Household Hazardous Waste Element, and Nondisposal Facility Element with the Board (must have been filed by July 1, 1998 if you are requesting an ADR)?

☐ No. If no, stop; not eligible for a TE or ADR.

☒ Yes. If yes, then eligible for a TE or ADR.

2. Specific Request and Length of Request

Please specify the request desired.

☐ Time Extension Request

Specific years requested _

Is this a second request? ☒ No ☐ Yes Specific years requested _
(Note: Requests for an additional extension will need to address why the jurisdiction's efforts to meet the 50% goal by the end of the first extension were not successful.)

☒ Alternative Diversion Requirement Request (*Not allowed for Regional Agencies*).

Specific ADR requested 38.3% _%, for the years 2001, 2002, 2003 _

Is this a second ADR request? ☒ No ☐ Yes Specific ADR requested _%, for the years _

(Note: Requests for an additional ADR will need to address why the jurisdiction's efforts to meet 50% by the end of the first ADR period were not successful.)

Note: Extensions may be requested anytime by a jurisdiction, but will only be effective in the years from January 1, 2000 to January 1, 2006. An original request for a TE/ADR may be granted for any period up to three years and subsequent requests for TE/ADR may extend the original request or be based on new circumstances but the total number of years for all requests cannot total more than five years or extend beyond January 1, 2006.

Section IIIB—ALTERNATIVE DIVERSION REQUIREMENT

Within this section, discuss your jurisdiction's progress in implementing diversion programs that were planned to achieve 50%. Provide any additional information that demonstrates "good faith effort." The CIWMB shall determine your jurisdiction's efforts in demonstrating "good faith effort" towards complying with AB 939. Note: The answers to each question should be comprehensive and provide specific details regarding the jurisdiction's situation.

Attach additional sheets if necessary—please reference each response to the appropriate cell number (e.g., IIIB-1.).

1. Why does your jurisdiction need and Alternative Diversion Requirement? Describe why SRRE selected programs did not achieve 50% diversion. Identify barriers to meeting the 50% goal and briefly indicate how they will be overcome.

The City appeared to be on track to meet the 50% diversion goal by 2000, after reaching a goal of 45% in 1997 and 47% in 1998. However, the City has experienced significant commercial and residential growth and at the same time has encountered major problems in the disposal reporting system from out of County disposal facilities. The City continues to be allocated waste from Sacramento County, however the City has no means to verify the accuracy of this data, such as obtaining weight slips to ensure that the allocation is for waste actually generated within the City. Because of this tonnage, the City's diversion rate dropped significantly to 11% in 2000. In order to improve the tracking of debris box tonnage, the City plans to license the private haulers within the City. The proposed franchise agreement would require private haulers to accurately report solid waste tonnages generated and diverted within the City. In addition, the City will continue to work with the CIWMB and the Out of County disposal facilities to rectify the inaccuracies in the reporting system.

The City, as a member of the Western Placer Waste Management Authority, has supported the construction and operation of the regional Material Recovery Facility and Composting Facility and other regional programs (Master Composter program and Regional Public Information and Education program) with significant rate increases to our City residents. When the MRF was being promoted, it was projected that the MRF and composting facility would be diverting 25-35% of the overall wastestream. Unfortunately, this projected diversion rate at the MRF has not been realized. Instead a diversion rate of 18-20% has been the average over the past several years with 23% diversion for the fiscal year 2000-01. The MRF was considered the cornerstone in the City's efforts to reach the 50% diversion mandate. In an effort to increase the City's diversion rate, the City has attempted to expand other diversion programs such as the blue-bag program, leaf collection program, school recycling, special event recycling, backyard composting and educational programs but unfortunately these efforts were not successful in raising diversion sufficiently to meet the 50% mandate. Due to flow control considerations and MRF compatibility issues, the City cannot implement source separation programs within the City limits without first seeking approval from the Landfill Authority. Therefore, the City is currently working with County staff and the Authority to gain approval to implement new programs, such as a curbside greenwaste collection program and paper recovery programs as well as implementing a program for diverting construction/demolition waste.

2. Why is your jurisdiction requesting an Alternative Diversion Requirement in lieu of a Time Extension?

Section IIIA—TIME EXTENSION

Within this section, discuss your jurisdiction's progress in implementing diversion programs that were planned to achieve 50%. Provide any additional information that demonstrates "good faith effort." The CIWMB shall determine your jurisdiction's progress in demonstrating "good faith effort" towards complying with AB 939. Note: The answers to each question should be comprehensive and provide specific details regarding the jurisdiction's situation.

Attach additional sheets if necessary—please reference each response to the appropriate cell number (e.g., IIIA-1).

1. Why does your jurisdiction need more time to meet the 50% goal? Describe why SRRE selected programs did not achieve 50% diversion. Identify barriers to meeting the 50% goal and briefly indicate how they will be overcome.

2. Why does your jurisdiction need the amount of time requested? Describe any relevant circumstances in the jurisdiction that contribute to the need for a Time Extension.

3. Describe your jurisdiction's Good Faith Efforts to implement the programs in its SRRE.

4. Provide any additional relevant information that supports the request.

The City of Lincoln is asking for an alternative diversion requirement in lieu of a time extension because it was agreed by the State and City staff that the 2000 diversion rate of 11% for the City was probably not accurate due to the existing problems with the current measurement system as it relates to out of County waste. When this 11% diversion rate is added to the proposed programs in the Plan of Correction, the total diversion only amounts to 38.3. The City is convinced that their current diversion rate is much higher than the reported 11%, but they did not want to expend their limited time and resources to attempt to quantify a more accurate diversion rate for 2000. The City felt it would be more productive to concentrate the City's efforts and resources on program development and enhancement to meet the 50% diversion mandate. The long term strategy of the City is to implement several new programs while at the same time implementing measures to improve the diversion and disposal measurement system within the City, thus getting a better handle on waste allocation. Hopefully, the City's efforts to license all private haulers within the City will enable the City to better quantify all waste generated within the City thus ensuring that waste is actually allocated to the appropriate jurisdiction. The City also plans to implement a source separated green waste collection program, to increase the amount of greenwaste composted at the Material Recovery Facility. In addition, the City plans to implement a drop-off program for CRV plastic, glass and newspaper with five specially designed drop-off boxes in high profile locations throughout the City, including two schools.

Because the City is experiencing significant growth, additional time is also needed to implement a Construction and Demolition Ordinance which would encourage Contractors working within the City to separate their clean wood waste for processing/diversion at the MRF and would include reporting requirements to track C/D waste. The City also plans to expand opportunities within the City to recycle office paper and cardboard, improve and expand the public awareness programs and to expand government recycling programs.

3. Describe your jurisdiction's Good Faith Efforts to implement the programs in its SRRE.

Other than the year 2000 when the diversion rate dropped significantly to 11% (including biomass credits), the City's diversion rate had been ranging from 40-47%. The City had relied heavily on the MRF as the cornerstone of the City's recycling efforts. The City was discouraged from developing source separation programs that may be incompatible with the regional MRF and would violate the City's flow-control agreement. However, even with limited staff and funding, the City has made the following good faith efforts to implement the following programs identified in the SRRE:

Curbside Recycling (Blue-bag program): The City implemented a blue-bag program in the fall of 1998 and the program continues to expand with the number of participants and the number of materials collected. The program has been well received in the City, especially in the new subdivisions within the City. Unfortunately, the City gets no direct diversion credit for this program because the blue-bags are brought in with the regular garbage and the MRF operator has been unwilling to work with the City to audit/quantify the program's success.

Leaf Collection Program: The City continues to expand the collection of leaves from October to December for diversion at Lincoln High School's composting site. The City is negotiating with a local franchise hauler to implement a pilot residential curbside greenwaste collection program within the City.

Government Recycling Programs: Expanded programs within the City such as double-sided copying, use of email and paperless interoffice memo's, etc. City crews have expanded their use of their chipper to chip wood waste and have expanded the Christmas tree recovery program.

Public Education and Information Programs: The City has increased marketing efforts to promote recycling and diversion opportunities within the City and County. The City will continue to increase awareness of existing programs and to better inform residents and business owners of the importance of diverting materials.

Education : The City has made efforts to reach local schools with recycling and composting information, classroom presentations, competitions, available grants, CALMAX information and other available resources through support of the regional education program as well as the City's web page, bill inserts, newspaper articles, flyers, etc.

Material Recovery Facility: The City has worked with the Local Task Force and County staff to increase the contracted diversion rate at the MRF and composting facility.

4. Describe any relevant circumstances in the jurisdiction that contribute to the need for an ADR. Provide any relevant information that supports the request.

Section IV B—GOAL ACHIEVEMENT

Goal Achievement describes the activities the jurisdiction will use to achieve the ADR.
Attach additional sheets if necessary..

| Residential % | | 68 | Non-residential % | | 32 |
|--|---------------|--|---|--|---|
| PROGRAM TYPE Please use the Board's Program Types. The Program Glossary is online at: www.ciwmb.ca.gov/LG/Central/PARIS/Codes/Reduce.htm | NEW or EXPAND | DESCRIPTION OF PROGRAM | FUNDING SOURCE | DATE FULLY COMPLETED | ESTIMATED PERCENT DIVERSION |
| 3000-CM-RCG | Expand | Currently, residents are encouraged to bag their greenwaste separately so it can be composted at the MRF. The City also operates a leaf collection program during the fall months for composting at Lincoln High School's composting site. The City plans to implement a pilot curbside biweekly greenwaste collection program initially for 2,000 homes in a new development within the City. Each household would be assessed a monthly fee and given a 90 gallon container. It is estimated that each household would generate about 10.5 pounds/house/week or 4% diversion. It is estimated that the first phase of this program will generate about 546 tons of greenwaste diversion. The City intends to fully implement the greenwaste program by August 2003 when about 5,000 homes will be served and 10% diversion is estimated. The City is in discussions with Auburn Placer Disposal Service to operate the proposed program. | City Solid Waste Fund/ Monthly Assessment per Household | Pilot Program: July 2002 Fully implemented program: August 2003 | 4% pilot program 10% fully implemented program |
| 7000-FR-MRF | Expand | The Western Placer Waste Management Authority recently renewed the operator's contract with the MRF operator which will increase the minimum required diversion rate over the next two years. Presently, the operator is required to divert 18% as a minimum, which includes incoming source separated greenwaste but not source separated wood waste. In the fiscal year 2000-01, the MRF diverted a total of 23% of the incoming MSW, greenwaste and wood waste. The new contract will increase the minimum diversion rate to 18% in July, 2002 and to 20% in July 2003. The contract also includes financial incentives if the MRF operator exceeds the contracted minimum diversion rate. | Tipping/Processing Fee | July, 2002 July, 2003 | 2% 2% |
| 2030-RC-OSP | New | The City plans to provide office paper and cardboard bins for downtown businesses. The actual number of bins and the size of bins have not yet been determined. The City is in discussions with Auburn Placer Disposal Service and another independent contractor regarding specifics of the program. Based on the success of APDS's paper programs in the City of Auburn, a conservative estimate of diversion would be at least 500 tons/year. | City solid waste fund/ proceeds from commodities | July, 2002 | 3.5% |
| 2010-RC-DRP | New | The City or franchise contractor will provide five- 30 yard bins at high profile locations within the City for the recycling of newspaper, CRV glass and CRV plastic containers. Bins will be located at the Del Webb community center, McBean Park, Lincoln High School, Joiner Park and Carlin Coppin Elementary School. It has been estimated that 300 tons of newspaper, 13 tons of PETE plastic and 50 tons of glass will be diverted through this program. | DOC grant monies/ proceeds from commodities | July, 2002 | 3% |

Section IV A—PLAN OF CORRECTION

A Plan of Correction is required by PRC Section 41820(a)(6)(B). The plan is fundamentally a description of the actions the jurisdiction will take to meet the 50% goal by the expiration of the Time Extension.

Attach additional sheets if necessary.

| Residential % | | Non-residential % | | | |
|--|---------------|---|----------------|----------------------|-----------------------------|
| PROGRAM TYPE | NEW or EXPAND | DESCRIPTION OF PROGRAM | FUNDING SOURCE | DATE FULLY COMPLETED | ESTIMATED PERCENT DIVERSION |
| Please use the Board's Program Types. The Program Glossary is online at: www.ciwmb.ca.gov/LGCentral/PARIS/Codes/Reduce.htm | | | | | |
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| | | | | | |
| | | Total Estimated Diversion Percent From New and/or Expanded Programs | | | |
| | | Current Diversion Rate Percent From Latest Annual Report | | | |
| | | Total Planned Diversion Percent Estimated | | | |

PROGRAMS SUPPORTING DIVERSION ACTIVITIES

| PROGRAM TYPE | NEW or EXPANDED | DESCRIPTION OF PROGRAM | DATE FULLY COMPLETED |
|--------------|-----------------|------------------------|----------------------|
| | | | |
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|--|-----|---|-----------------------|------------|---|
| 2050-RC-SCH | New | The City will provide office paper and cardboard bins to all five schools within the City willing to participate in the program. The size of the bin and the frequency of pick-up has not yet been determined. The City has not yet determined if they will operate the program or contract with APDS. Based on school programs in the Auburn area, | City Solid Waste Fund | July, 2002 | 0.5% |
| 2060 RC-GOV | New | The City will provide at least one office paper and cardboard bin for the downtown City office building or contract with an independent contractor for the recycling of those materials. The City is presently in discussions with outside contractors regarding this program. | City Solid Waste Fund | July, 2002 | 0.1% |
| Total Estimated Diversion Percent From New and/or Expanded Programs | | | | | 27.3% (see additional programs on attached sheet) |
| Current Diversion Rate Percent From Latest Annual Report | | | | | 11% |
| Total Planned Diversion Percent Estimated | | | | | 38.3% |

PROGRAMS SUPPORTING DIVERSION ACTIVITIES

| PROGRAM TYPE | NEW or EXPAND | DESCRIPTION OF PROGRAM | DATE FULLY COMPLETED |
|--|---------------|--|----------------------|
| Public Education/Information | Expand | The City will expand its public education/information program to increase public awareness and participation in new and existing diversion and source reduction programs, such as the drop-off bins for cardboard, newspaper, office paper and CRV materials, the blue-bag program, the curbside greenwaste program, and wood waste recycling at construction sites. The City will continue to participate and support the regional education/information efforts. | Ongoing |
| 1030-SR-PMT | New | The City will formally adopt a procurement policy for the purchase of recycled content products. | September, 2002 |
| 1050-SR-GOV | Expand | Formalize a City Source Reduction policy that promotes City source reduction efforts such as use of e-mail, double-sided copying, grasscycling at area parks; chipping/mulching of tree trimmings, etc. | September, 2002 |
| Record-keeping/Improvements to Disposal Reporting System | New/Expand | All private haulers of construction/demolition waste will be licensed and encourage through financial incentives to source separate wood waste for processing and diversion at the MRF and report solid waste tonnages, disposal site, diversion quantities to the City. This will allow the City to track all waste generated within the City and its ultimate disposal site. These records could then be used to verify any questionable waste allocated to the City from out-of-County disposal facilities. | October, 2002 |
| New Base Year Generation Study | New | If the City is unable to meet the 50% diversion mandate with the implementation of the above-mentioned programs because of ongoing problems with misallocated waste, the City may consider conducting a new base year generation study to accurately quantify the actual diversion activity within the City | 2003 |

Section V – PARIS

Office of Local Assistance staff will be reviewing your Jurisdiction's Planning Annual Report Information System (PARIS) database printout as part of the evaluation of your request. Should the Jurisdiction have updates or revisions to the program implementation from the latest Annual Report submitted to the Board, please attach to the application the Jurisdiction's PARIS database printout showing updates or revisions.

Contact your Office of Local Assistance Representative at (916) 255-2555 for a copy of PARIS, or go to the Board's website at www.ciwmb.ca.gov/LGCentral/PARIS/.

City of Lincoln
Plan of Correction
Continued

| Program Type | New or Expand | Description of Program | Funding Source | Date Fully Implemented | Estimated Percent Diversion |
|----------------------------|---------------|--|--|------------------------|-----------------------------|
| 2000-RC-CRB | Expand | Increase participation levels through enhanced promotion of the residential curbside blue-bag program. Expand the types of materials collected through this program to include all materials diverted at the MRF to include #1 and #2 plastics, aluminum cans, newspaper, mixed paper, cardboard and paperboard, and film plastics. Only glass containers would be excluded to maintain the integrity of the bag. The City would continue to work with the MRF operator so the materials diverted through this program could be allocated to the City. As of this date, the City has distributed approximately 3,000 free blue-bags to residents | City Solid Waste Fund | Ongoing | 1% |
| 6020-PI-ORD 4050-SP-WDW | New | License private haulers in the City through a Franchise Ordinance that would require them to submit quarterly reports to the City tracking and quantifying the amount/type of solid waste disposed and diverted. All solid waste collected within the City would be required to be taken to the MRF where it would be processed pursuant to the City's flow control agreement. The City would strongly encourage source separation of wood waste through existing financial incentives. The City would advise all contractors/developers/private haulers of diversion alternatives for wood waste and other recyclable construction waste. Other inerts and C/D waste will continue to be separated at the landfill or at the MRF. | City Solid Waste Fund/ Franchise license fees | October 2002 | 5% |
| 2080-RC-SPE | Expand | Expansion of the "Special Event Recycling Program" through the purchase of 40-50 gallon CRV recycling containers. These containers (separate from the 2010 containers previously mentioned) will be permanently placed at McBean Park and other City sites for the recycling of CRV aluminum cans, CRV plastic and CRV glass containers. These containers would be used at all fairs, farmer's markets, special City events, etc. The City is working with the City's Volunteer Coordinator regarding the collection of these materials at special events. | Solid Waste Fund/ State DOC grant monies | December 2002 | 0.2% |